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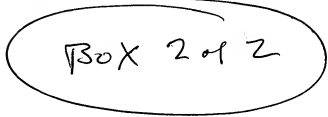
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On November 15, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: MT Davis  
Mark T. Davis

1FW  
PATENT  
Attorney Docket No.: 16222U-015700US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Loc Nguyen et al.

Application No.: 10/676,723

Filed: September 30, 2003

For: METHOD AND SYSTEM FOR  
MANAGING DYNAMIC TERMS AND  
CONDITIONS AND USER  
INTERACTION

Examiner: Not Yet Assigned

Art Unit: 3629

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

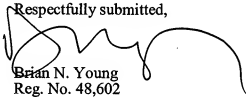
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the non-U.S. references are enclosed. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, applicant has not submitted copies of the U.S. references.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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BNY:mtd  
60347491 v1



Substitute for fog

(use as many sheets as necessary)

Sheet	1	of
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**Complete if Known**

Application Number	10/676.723
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Filing Date	September 30, 2003
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First Named Inventor	Loc Nauven
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Art Unit	3629
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Examiner Name	Not Yet Assigned
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Attorney Docket Number	16222U-015700US
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## U.S. PATENT DOCUMENTS+

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance, and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique class designation number (optional). Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*\* For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*\*\* Kind of document by the appropriate symbols as indicated on the document number. WIPO Standard ST. 46. If possible, Applicant's true place of origin (not necessarily the same as inventor's residence) should be entered EXCEPT WHERE LIKELY TO BE CONFUSING.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	2	of	
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**Complete If Known**

Application Number	10/676,723
Filing Date	September 30, 2003
First Named Inventor	Loc Nguyen
Art Unit	3629
Examiner Name	Not Yet Assigned
Attorney Docket Number	16222U-015700US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BO	US-5,256,663	10-26-1993	Ferguson et al.	
	BP	US-5,285,278	02-08-1994	Holman	
	BQ	US-5,287,181	02-15-1994	Holman	
	BR	US-5,287,268	02-15-1994	McCarthy	
	BS	US-5,299,834	04-05-1994	Kralige	
	BT	US-5,308,120	05-03-1994	Thompson	
	BU	US-5,353,218	10-04-1994	De Lapa et al.	
	BV	US-5,402,549	04-04-1995	Forrest	
	BW	US-Re. 34,915	04-25-1995	Nichlberger et al.	
	BX	US-5,417,458	05-23-1995	Best et al.	
	BY	US-5,420,606	05-30-1995	Begum et al.	
	BZ	US-5,380,991	01-10-1995	Valencia et al.	
	CA	US-5,450,936	09-19-1995	Rademacher	
	CB	US-5,466,010	11-14-1995	Spooner	
	CC	US-5,471,669	11-28-1995	Lidman	
	CD	US-5,473,690	12-05-1995	Grimonprez et al.	
	CE	US-5,483,444	01-09-1996	Heintzeman et al.	
	CF	US-5,484,998	01-16-1996	Bejnar et al.	
	CG	US-5,491,326	02-13-1996	Marceau et al.	
	CH	US-5,491,836	02-13-1996	Takahisa et al.	
	CI	US-5,500,681	03-19-1996	Jones	
	CJ	US-5,501,491	03-26-1996	Thompson	
	CK	US-5,513,102	04-30-1996	Aurlemma	
	CL	US-5,515,270	05-07-1996	Weinblatt	
	CM	US-5,530,232	06-25-1996	Taylor	
	CN	US-5,531,482	07-02-1996	Blank	
	CO	US-5,535,118	07-09-1996	Chumbley	
	CP	US-5,537,314	07-16-1996	Kanter	
	CQ	US-5,559,313	09-24-1996	Claus et al.	
	CR	US-5,564,073	10-08-1996	Takahisa	
	CS	US-5,577,266	11-19-1996	Takahisa et al.	
	CT	US-5,577,915	11-26-1996	Feldman	
	CU	US-5,578,806	11-26-1996	Taylor	
	CV	US-5,579,537	11-26-1996	Takahisa	
	CW	US-5,594,493	01-14-1997	Namirofsky	
	CX	US-5,612,868	03-18-1997	Off et al.	
	CY	US-5,621,812	04-15-1997	Deston et al.	
	CZ	US-5,642,485	06-24-1997	Deston et al.	
	DA	US-5,644,723	07-01-1997	Deston et al.	
	DB	US-5,649,114	07-15-1997	Deston et al.	

Examiner  
Signature

/Yehdega Retta/

Date  
Considered

12/12/2008

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Substitute for form 1449A/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/676,723
		Filing Date	September 30, 2003
		First Named Inventor	Loc Nguyen
		Art Unit	3629
		Examiner Name	Not Yet Assigned
Sheet 4 of	Attorney Docket Number	16222U-015700US	

U.S. PATENT DOCUMENTS*					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	EQ	US-5,890,135	03-30-1999	Powell	
	ER	US 5,892,827	04-06-1999	Beach et al.	
	ES	US-5,898,838	04-27-1999	Wagner	
	ET	US-5,903,732	05-11-1999	Reed et al.	
	EU	US-5,905,246	05-18-1999	Fajkowski	
	EV	US-5,905,908	05-18-1999	Wagner	
	EW	US 5,907,350	05-25-1999	Nemirofsky	
	EX	US-5,907,830	05-25-1999	Engel et al.	
	EY	US-5,909,486	06-01-1999	Walker et al.	
	EZ	US-5,912,453	06-15-1999	Gungl et al.	
	FA	US-5,915,244	06-22-1999	Jack et al.	
	FB	US-5,923,884	07-13-1999	Peyret et al.	
	FC	US-5,924,072	07-13-1999	Havens	
	FD	US-5,926,795	07-20-1999	Williams	
	FE	US-5,928,082	07-27-1999	Clapper, Jr.	
	FF	US-5,931,947	08-03-1999	Burns et al.	
	FG	US-5,943,851	08-24-1999	Oosawa	
	FH	US-5,950,173	09-07-1999	Perkowski	
	FI	US-5,953,705	09-14-1999	Oneda	
	FJ	US-5,956,695	09-21-1999	Carithers et al.	
	FK	US-5,953,047	09-14-1999	Nemirofsky	
	FL	US-5,956,894	09-21-1999	Powell	
	FM	US-5,956,174	09-28-1999	Ramsberg et al.	
	FN	US-5,960,082	09-28-1999	Haenel	
	FO	US-5,963,917	10-05-1999	10-05-1999	
	FP	US-5,969,318	10-19-1999	Mackenthun	
	FQ	US-5,970,469	10-19-1999	Scroggie et al.	
	FR	US-5,974,399	10-26-1999	Glufant et al.	
	FS	US-5,974,549	10-26-1999	Golan	
	FT	US-5,978,013	11-02-1999	Jones et al.	
	FU	US-5,987,795	11-23-1999	Wilson	
	FV	US-5,997,042	12-07-1999	Blank	
	FW	US-6,000,608	12-14-1999	Dorf	
	FX	US 6,002,771	12-14-1999	Nielsen	
	FY	US-6,003,113	12-14-1999	Hoshino	
	FZ	US-6,003,134	12-14-1999	Kuo et al.	
	GA	US-6,005,942	12-21-1999	Chan et al.	
	GB	US 6,009,411	12-28-1999	Kepecs	
	GC	US-6,012,635	01-11-2000	Shimada et al.	
	GD	US-6,014,634	01-11-2000	Scroggie et al.	

Examiner Signature	/Yehdega Retta/	Date Considered	12/12/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbol as indicated. \* Documents with serial numbers 10,000,000 and above must be preceded by the symbol "10,000,000". \* Place a check mark in the English language translation column.

Substitute for form 1449A/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/676,723
		Filing Date	September 30, 2003
		First Named Inventor	Loc Nguyen
		Art Unit	3629
		Examiner Name	Not Yet Assigned
Sheet 5 of	Attorney Docket Number	16222U-015700US	

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	GE	US-6,024,286	02-15-2000	Bradley et al.	
	GF	US-6,035,280	03-07-2000	Christensen	
	GG	US-6,038,551	03-14-2000	Barlow et al.	
	GH	US-6,041,309	03-21-2000	Laor	
	GI	US-6,047,325	04-04-2000	Jain et al.	
	GJ	US-6,049,778	04-11-2000	Walker et al.	
	GK	US-6,052,468	04-18-2000	Hillhouse	
	GL	US-6,052,890	04-18-2000	de Jong	
	GM	US-6,052,785	04-18-2000	Lin et al.	
	GN	US-6,055,509	04-25-2000	Powell	
	GO	US-6,061,660	05-09-2000	Egg/eston et al.	
	GP	US-6,067,526	05-23-2000	Powell	
	GQ	US-6,070,147	05-30-2000	Harms et al.	
	GR	US-6,073,238	06-06-2000	Drupsteen	
	GS	US-6,076,068	06-13-2000	DeLape et al.	
	GT	US-6,076,069	06-13-2000	Laor	
	GU	US-6,089,611	07-18-2000	Blank	
	GV	US-6,094,656	07-25-2000	De Jong	
	GW	US-6,101,422	08-08-2000	Furlong	
	GX	US-6,101,477	08-08-2000	Hohle et al.	
	GY	US-6,105,002	08-15-2000	Powell	
	GZ	US-6,105,865	08-22-2000	Hardesty	
	HA	US-6,105,873	08-22-2000	Jeger	
	HB	US-6,112,987	09-05-2000	Lambert et al.	
	HC	US-6,112,988	09-05-2000	Powell	
	HD	US-6,119,933	09-19-2000	Wong et al.	
	HE	US-6,119,945	09-19-2000	Muller et al.	
	HF	US-6,122,631	09-19-2000	Berbec et al.	
	HG	US-6,129,274	10-10-2000	Suzuki	
	HH	US-6,144,948	11-07-2000	Walker et al.	
	HI	US-6,151,586	11-21-2000	Brown	
	HJ	US-6,151,587	11-21-2000	Matthias	
	HK	US-6,154,751	11-28-2000	Ault et al.	
	HL	US-6,161,870	12-19-2000	Blank	
	HM	US-6,164,549	12-26-2000	Richards	
	HN	US-6,170,061 B1	01-02-2001	Baser	
	HO	US-6,173,269 B1	01-09-2001	Soloki et al.	
	HP	US-6,173,891 B1	01-16-2001	Powell	
	HQ	US-6,179,205 B1	01-30-2001	Sloan	
	HR	US-6,179,710 B1	01-30-2001	Sawyer et al.	

Examiner Signature	/Yehdega Retta/	Date Considered	12/12/2008
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60347491 v1

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete If Known</b>		
		Application Number	10/676,723	
		Filing Date	September 30, 2003	
		First Named Inventor	Loc Nguyen	
		Art Unit	3629	
		Examiner Name	Not Yet Assigned	
Sheet	6	of	Attorney Docket Number	16222U-015700US

U.S. PATENT DOCUMENTS*					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	HS	US-6,183,017 B1	02-06-2001	Najor et al.	
	HT	US-6,185,541 B1	02-06-2001	Scroggie et al.	
	HU	US-6,189,100 B1	02-13-2002	Barr et al.	
	HV	US-6,189,878 B1	02-20-2001	Dorf	
	HW	US-6,195,666 B1	02-27-2001	Schneck et al.	
	HX	US-6,210,276 B1	04-03-2001	Mullins	
	HY	US-6,216,014 B1	04-10-2001	Proust et al.	
	HZ	US-6,216,204 B1	04-10-2001	Thiriet	
	IA	US-6,220,510 B1	04-24-2001	Everett et al.	
	IB	US-6,222,914 B1	04-24-2001	McMullin	
	IC	US-6,230,143 B1	05-08-2001	Simons et al.	
	ID	US-6,237,145 B1	05-22-2001	Narasimhan et al.	
	IE	US 6,241,287 B1	06-05-2001	Best et al.	
	IF	US 6,243,687 B1	06-05-2001	Powell	
	IG	US 6,243,688 B1	06-05-2001	Kalina	
	IH	US 6,244,958 B1	06-12-2001	Acras	
	II	US 6,266,847 B1	07-24-2001	Fernandez	
	IJ	US 6,267,263 B1	07-31-2001	Ernoff et al.	
	IK	US 6,269,158 B1	07-31-2001	Kim	
	IL	US 6,279,112 B1	08-21-2001	O'Toole, Jr. et al.	
	IM	US 6,282,516 B1	08-28-2001	Giuliani	
	IN	US 6,292,785 B1	09-18-2001	McEvoy et al.	
	IO	US 6,292,786 B1	09-18-2001	Deaton et al.	
	IP	US 6,296,191 B1	10-02-2001	Hamann et al.	
	IQ	US 6,299,530 B1	10-09-2001	Hansted et al.	
	IR	US 6,321,208 B1	11-20-2001	Barnett et al.	
	IS	US 2002/0002468 A1	01-03-2002	Spagna et al.	
	IT	US 6,365,723 B1	05-07-2002	Richards	
	IU	US 6,390,374 B1	05-21-2002	Carper et al.	
	IV	US 2002/0078051 A1	06-20-2002	Nii	
	IW	US 6,480,935 B1	11-12-2002	Carper et al.	
	IX	US 6,549,773 B1	04-15-2003	Linden et al.	
	IY	US 6,549,912 B1	04-15-2003	Chen	
	IZ	US 6,612,490 B1	09-02-2003	Herrendoerfer et al.	
	JA	US 6,661,995 B2	01-27-2004	Sukeda et al.	
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FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Signature	/Yehdega Retta/		Date Considered	12/12/2008	

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Substitute for form 1449A/PTO		<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/676,723	
		Filing Date	September 30, 2003	
		First Named Inventor	Loc Nguyen	
		Art Unit	3629	
		Examiner Name	Not Yet Assigned	
Sheet	7	of	Attorney Docket Number	16222U-015700US

	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
JC	WO	90/16126	A1	12-27-1990			
JD	WO	96/25724	A1	08-22-1996			
JE	WO	96/38945	A1	12-05-1996			
JF	WO	96/42109	A1	12-27-1996			
JG	WO	97/05582	A1	02-13-1997			
JH	WO	97/10562	A1	03-20-1997			
JI	WO	97/39424	A1	10-23-1997			
JJ	WO	98/02834	A1	01-22-1998			
JK	WO	98/09257	A1	03-05-1998			
JL	WO	98/20465	A1	05-14-1998			
JM	WO	98/43169	A2	10-01-1998			
JN	WO	98/43212	A1	10-01-1998			
JO	WO	98/52153	A2	11-19-1998			
JP	WO	99/10824	A1	03-04-1999			
JQ	WO	99/16030	A1	04-01-1999			
JR	WO	99/19846	A2	04-22-1999			
JS	WO	99/44172	A1	09-02-1999			
JT	WO	99/45507	A1	09-10-1999			
JU	WO	99/49415	A2	09-30-1999			
JV	WO	99/49426	A1	09-30-1999			
JW	WO	00/39714	A1	07-06-2000			
JX	WO	00/46665	A2	08-10-2000			
JY	WO	00/54507	A1	09-14-2000			
JZ	WO	00/57315	A2	09-28-2000			
KA	WO	00/57613	A1	09-28-2000			
KB	WO	00/62265	A1	10-19-2000			
KC	WO	00/62472	A1	10-19-2000			
KD	WO	00/67185	A1	11-09-2000			
KE	WO	00/68797	A1	11-16-2000			
KF	WO	00/68902	A1	11-16-2000			
KG	WO	00/68903	A1	11-16-2000			
KH	WO	00/69183	A2	11-16-2000			
KI	WO	00/75775	A2	12-14-2000			
KJ	WO	00/77750	A1	12-21-2000			
KK	WO	01/06341	A1	01-25-2001			
KL	WO	01/04851	A1	01-18-2001			
KM	WO	01/08087	A1	02-01-2001			
KN	WO	01/13572	A1	02-22-2001			
KO	WO	01/15397	A1	03-01-2001			
KP	WO	01/18633	A1	03-15-2001			
KQ	WO	01/18746	A1	03-15-2001			
KR	WO	01/29672	A1	04-26-2001			
KS	WO	01/33390	A2	05-10-2001			
Examiner Signature	/Yehdega Retta/			Date Considered	12/12/2008		

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60347491 v1

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	8	of		Application Number	10/676,723
				Filing Date	September 30, 2003
				First Named Inventor	Loc Nguyen
				Art Unit	3629
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	16222U-015700US

**Complete If Known****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code <sup>2</sup>	Number <sup>3</sup>	Kind Code <sup>4</sup> (if known)				
	KT	WO	01/40908	A2	06-07-2001			<input type="checkbox"/>
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	LV	WO	02/088895	A2	11-07-2002			<input type="checkbox"/>
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	LX	EP	0292248	A2	11-23-1988			<input type="checkbox"/>
	LY	EP	0475837	A1	03-18-1992		Abstract attached	<input checked="" type="checkbox"/>
	LZ	EP	0540095	A1	05-05-1993			<input type="checkbox"/>
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete If Known</b>		
		Application Number	10/676,723	
		Filing Date	September 30, 2003	
		First Named Inventor	Loc Nguyen	
		Art Unit	3629	
		Examiner Name	Not Yet Assigned	
Sheet	9	of	Attorney Docket Number	16222U-015700US

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code <sup>2</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	MH	EP	0944007	A2	09-22-1999			<input type="checkbox"/>
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	NR	KR	0021237	A	03-20-2002	Abstract attached		<input checked="" type="checkbox"/>

Examiner Signature	/Yehdega Retta/	Date Considered	12/12/2008
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Substitute for form 1449B/PTO			<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			<b>Application Number</b>	10/676,723
			<b>Filing Date</b>	September 30, 2003
			<b>First Named Inventor</b>	Loc Nguyen
			<b>Art Unit</b>	3629
			<b>Examiner Name</b>	Not Yet Assigned
(use as many sheets as necessary)			<b>Attorney Docket Number</b>	16222U-015700US
Sheet	10	of		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	NS	"Internet based secure transactions using encrypting applets and cgi-scripts independent of browser or server capabilities"; 1998, <u>IBM Research Disclosure</u> , No. 410116, pp. 800-801.	

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<sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Applicant is to place a check mark here if English language Translation is attached.